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PTO/SB/21 (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/661,332
		Filing Date	September 12, 2003
		First Named Inventor	Masayuki Momiuchi
		Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
Total Number of Pages in This Submission		Attorney Docket Number	463P107

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kevin S. Lemack Nields & Lemack
Signature	
Date	January 22, 2004

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Kevin S. Lemack		
Signature		Date	January 22, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Masayuki Momiuchi  
Serial No. : 10/661,332  
Filed : September 12, 2003  
For : SEMICONDUCTOR LASER DEVICE  
Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 463P107

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

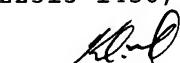
Sir:

INFORMATION DISCLOSURE STATEMENT

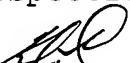
The Examiner is respectfully requested to consider the documents which are listed on the attached form PTO 1449.

Copies of the documents listed on the attached form are filed herewith.

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Signature: Kevin S. Lemack  
Date: January 22, 2004

Respectfully submitted,

  
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<b>FORM PTO-1449</b>	<b>ATTY. DOCKET NO.</b> 463P107	<b>SERIAL NO.</b> 10/661,332
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>		
Masayuki Momiuchi		
	<b>FILING DATE</b> September 12, 2003	<b>GROUP</b> Not yet assigned

## **REFERENCE DESIGNATION**

## U.S. PATENT DOCUMENTS

EXAMINER NAME INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	6,111,900	8/2000	Suzudo	372	22	
AB						
AC						

## **FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	BA	04-048664	2/1992	Japan-Abstract		X	
	BA	7-112083	11/1995	Japan		X	

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

	<b>CA</b>	
	<b>CB</b>	
	<b>CB</b>	

**EXAMINER** \_\_\_\_\_ | **DATE CONSIDERED** \_\_\_\_\_

**EXA MINER:** Initial reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant.